

Survey on Collection of Race and Ethnicity Data by Hospitals

Some hospitals collect data on the race and ethnicity of patients, while others do not. The data may be collected in various settings (admitting, during the course of stay, at individual clinics). A variety of factors go into the decision to collect these data. The purpose of this survey is to determine what these factors might be.

NOTE: The term “provider” used in this survey refers to health care providers such as physicians, nurses, physicians’ assistants, occupational therapists, etc.

Hospital: _____

Name of person completing survey: _____

Position/title of person completing survey: _____

Telephone: _____ Fax: _____ E-mail: _____

1. Does your hospital collect racial and ethnic data about patients?

a. Yes b. No c. Don’t know **If no:** Skip to question 5

2. **If yes:** Is data collected by more than one unit/clinic within your organization?

a. Yes b. No c. Don’t know **if no:** Skip to question 4

3. **If yes:** Do all units/clinics use the same race and ethnicity categories?

a. Yes b. No c. Don’t know

If no: How are they different?

4. Please provide information about up to three units/clinics within your organization that collect data on race and ethnicity.

a. Name of unit/clinic #1 that collects race and ethnicity data about patients:

i. Who provides information about the patient’s race or ethnicity? (Check all that apply)

Patient self-identifies

- Caretaker/guardian provides information
 - Admitting clerk obtains information from patient
 - Admitting clerk provides information based on observation
 - Health care provider obtains information from patient
 - Health care provider provides information based on observation
 - Other _____
 - Don't know
- Who might know? _____

ii. When is the information collected? (Check all that apply)

- Upon admission
 - At discharge
 - At first visit/new patient registration
 - Included in health care provider's discharge notes/medical record
 - Other _____
 - Don't know
- Who might know? _____

b. Name of unit/clinic #2 that collects race and ethnicity data about patients:

i. Who provides information about the patient's race or ethnicity? (Check all that apply)

- Patient self-identifies
 - Caretaker/guardian provides information
 - Admitting clerk obtains information from patient
 - Admitting clerk provides information based on observation
 - Health care provider obtains information from patient
 - Health care provider provides information based on observation
 - Other _____
 - Don't know
- Who might know? _____

ii. When is the information collected? (Check all that apply)

- Upon admission
 - At discharge
 - At first visit/new patient registration
 - Included in health care provider's discharge notes/medical record
 - Other _____
 - Don't know
- Who might know? _____

c. Name of unit/clinic #3 that collects race and ethnicity data about patients:

i. Who provides information about the patient’s race or ethnicity? (Check all that apply)

- Patient self-identifies
- Caretaker/guardian provides information
- Admitting clerk obtains information from patient
- Admitting clerk provides information based on observation
- Health care provider obtains information from patient
- Health care provider provides information based on observation
- Other _____
- Don’t know

Who might know? _____

ii. When is the information collected? (Check all that apply)

- Upon admission
- At discharge
- At first visit/new patient registration
- Included in health care provider’s discharge notes/medical record
- Other _____
- Don’t know

Who might know? _____

Skip to question 8.

5. Why does your organization ***not*** collect data on race and ethnicity? (Check all that apply)

- | | |
|---|--|
| <input type="checkbox"/> Prohibited (by law or external regulation) | <input type="checkbox"/> Data would be unreliable |
| <input type="checkbox"/> Legally allowed, but not authorized by organization/hospital | <input type="checkbox"/> Too costly to collect and maintain |
| <input type="checkbox"/> Concerns about patient’s reactions | <input type="checkbox"/> No good/reliable system for collection |
| <input type="checkbox"/> Other _____ | <input type="checkbox"/> No good/reliable classification system for race/ethnicity |
| <input type="checkbox"/> Unnecessary | |
| <input type="checkbox"/> Don’t know | |

Who might know? _____

6. Is your hospital currently engaged in any efforts to change, develop, or implement policies or practices to collect racial and ethnic data?

- a. Yes b. No c. Don’t know

If yes: What is it doing?

If no: Why not?

7. Do you see any drawbacks to collecting these data?

- a. Yes b. No

If yes: What are the drawbacks?

***If you have completed question 7, you have completed the survey.
Thank you for your responses.***

8. Why did your hospital establish policies/practices to collect data on patient race and ethnicity?
(Check all that apply)

- | | |
|--|---|
| <input type="checkbox"/> Required by law/regulation | <input type="checkbox"/> Permitted by statute |
| <input type="checkbox"/> Recognized the benefits of collection | <input type="checkbox"/> Use data for quality improvement |
| <input type="checkbox"/> Important for community relations | <input type="checkbox"/> Helps target marketing efforts |
| <input type="checkbox"/> Other _____ | |
| <input type="checkbox"/> Don't know who might know? _____ | |

9. When data are collected on race and ethnicity, are specific categories listed, is it fill in the blank, or some other method?

- | | |
|---|---|
| a. <input type="checkbox"/> Specific categories | b. <input type="checkbox"/> Fill in the blank |
| c. <input type="checkbox"/> Other | d. <input type="checkbox"/> Don't know |

i. If specific categories, which ones (check all that apply):

- Caucasian/white
- African American/black
- Asian
- Spanish/Hispanic/Latino
- Mexican, Mexican American, Chicano
- Puerto Rican
- Cuban
- Native American
- Alaska Native
- Asian Indian
- Japanese
- Native Hawaiian
- Chinese
- Filipino
- Vietnamese
- Samoan
- Other Pacific Islander
- Guamanian or Chamorro
- Korean
- Other Asian _____
- Other _____

10. In what percentage of cases are data on race and ethnicity missing/unavailable?

a. How do you determine that?

11. Are race and ethnicity data stored in an electronic database?

- a. Yes b. No c. Don't know

12. Who may obtain access to the data? (Check all that apply)

- | | |
|---|--------------------------------------|
| <input type="checkbox"/> Organization employees | <input type="checkbox"/> Public |
| <input type="checkbox"/> Grantees/contractors | <input type="checkbox"/> Researchers |
| <input type="checkbox"/> Health care providers | <input type="checkbox"/> other _____ |
| <input type="checkbox"/> Don't know | |

13. How does your hospital use the data collected?

Don't know
Who might know?

- Internal use (check all that apply)
 - Marketing
 - Ensuring availability of interpreter services
 - Actuarial purposes
 - Quality Improvement/Disease Management Programs
 - Program/Benefit Design

Underwriting

Share data with other non-governmental agencies/organizations? (check all that apply)

Purchasers

Accrediting bodies

Community groups

Other _____

Share data with federal, state and/or local governmental agencies (check all that apply)

State Health Department

Local Health Department

Medicaid

Medicare

Veterans Administration

Other _____

14. Are any of these policies regarding the collection of data on race and ethnicity currently undergoing revision?

a. Yes

b. No

c. Don't know

If yes: i. What will the proposed revisions address?

15. What factors are driving your hospital to work on disparity related initiatives?

Organizational leadership

Community pressure

National research evidence

other

Don't know

Who might know? _____

16. Do you see any drawbacks to collecting data on race and ethnicity?

a. Yes

b. No

If yes: What are the drawbacks?

17. Does your hospital collect data on patients' primary language?

a. Yes

b. No

c. Don't know

Thank you for completing this survey!